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DATE: March 18, 2003
OUR REFERENCE: UMJ-101-A
TO: USPTO
Examiner S. Kaushal
FACSIMILE NO.: 703-746-3124
FROM: Julia Church Dierker
RE: 09/255,963
PAGES TO FOLLOW: -11-
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Our Reference: UMJ-101-A (UM-1476)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Peter X. Ma
Serial Number: 09/255,963
Filing Date: February 23, 1999
Examiner/Art Group Unit: Sumesh Kaushal, Ph.D./1636
Title: THREE-DIMENSIONAL HYDROGEL/CELL
SYSTEM

CERTIFICATE OF FACSIMILE TRANSMISSION


Commissioner for Patents
Box RCE
Washington, D.C. 20231

Sir:

Transmitted with this document is a Request for Continued Examination (RCE) and Preliminary Amendment in the above-identified application.

- X Please charge \$435.00 (RCE fee and additional claim fees) to Deposit Account Number 50-2100.
- X Please charge any deficiency or credit any excess in the enclosed fees to Deposit Account Number 50-2100.
- X Applicant(s), and the Assignee (if applicable), hereby assert a claim to small entity status under 37 CFR 1.9 et. seq.

I hereby certify that this correspondence was transmitted, via Facsimile, to the Commissioner for Patents, Box RCE, Examiner Sumesh Kaushal, facsimile no. (703) 746-3124 on March 18, 2003.


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PTO/SB/30 (01-03)

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**Request
For
Continued Examination (RCE)
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| | |
|-------------------------------|-----------------------|
| Application Number | 09/255,963 |
| Filing Date | February 23, 1999 |
| First Named Inventor | Peter X. Ma |
| Art Unit | 1636 |
| Examiner Name | Sumesh Kaushal, Ph.D. |
| Attorney Docket Number | UMJ-101-A (UM 1476) |

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on _____
- iii. ☐ Other _____
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other _____

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

- The RCE fee under 37 CFR 1.17(a) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-2100
- a. ☒ The RCE fee required under 37 CFR 1.17(a)
- i. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- ii. ☒ Other Additional independent and dependent claim fees
- b. ☐ Check in the amount of \$ _____ enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | | |
|--------------------------|-----------------------------|--|----------------|
| Name (Print/Type) | Julia Church Dierker | Registration No. (Attorney/Agent) | 33388 |
| Signature | <i>Julia Church Dierker</i> | Date | March 18, 2003 |

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

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| Name (Print/Type) | Julia Church Dierker | Date | March 18, 2003 |
| Signature | <i>Julia Church Dierker</i> | | |

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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